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PTO/SB/21 (12-97)

8 Sec 101

*Z.S.*

Approved e through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. Department of Commerce

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<b>TRANSMITTAL FORM</b> (To be used for all correspondence after initial filing)	Application Number	09/995,139	
	Filing Date	November 27, 2001	
	First Named Inventor	Klemens KOHLGRÜBER	
	Group Art Unit	3753	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission		Attorney Docket Number	Bayer 10,227-WCG

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> <b>Extension of Time Request</b> <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> <b>Response to Missing Parts under 37 CFR 1.52 or 1.53</b>	<input checked="" type="checkbox"/> <b>Assignment Papers (for an Application)</b> <input checked="" type="checkbox"/> <b>Drawing(s) - 7 sheets (FIGS. 1-10b)</b> <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip(PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below):</b> - Communication (Join-Up Letter) - Copy of Notice to File Missing Parts of Nonprovisional Application - Combined Declaration/Power of Attorney
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William C. Gerstenzang Norris, McLaughlin & Marcus, P.A.
Signature	<i>William C. Gerstenzang</i> Reg. No. 27,552
Date	March 4, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 4, 2002			
Typed or printed name	William C. Gerstenzang		
Signature	<i>William C. Gerstenzang</i>	Date	March 4, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket No.: Bayer 10,227-WCG  
Le A 32 751-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Klemens KOHLGRÜBER et al.  
Serial No. : 09/995,139  
Filed : November 27, 2001  
For : APPARATUS FOR CARRYING OUT MASS  
TRANSFER PROCESSES  
Art Unit : 3753  
Examiner : To Be Assigned

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March 4, 2002

Assistant Commissioner  
For Patents  
Washington, D.C. 20231

S I R:

**COMMUNICATION RE: FILING OF DECLARATION ON  
A DATE LATER THAN THE FILING DATE OF THE APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application under 37 CFR 1.53(b) dated December 14, 2001, in the above-identified application. A copy of said Notice is attached hereto.

It is respectfully requested that the enclosed declaration submitted herewith be joined up with the papers previously filed in this Application.

Applicant is:

☐ a small entity

☒ other than a small entity

It is requested that the surcharge for the filing of the Declaration be charged to the undersigned's Deposit Account No. 14-1263.

Please charge:

☐ \$ 65.00 for small entity

☒ \$130.00 for other than small entity.

An extra copy of this communication is appended hereto for accounting purposes.

**CONDITIONAL PETITION FOR EXTENSION OF TIME**

If any extension of time for this response is required, applicant requests that this be considered a petition therefor. Please charge the required Petition fee to Deposit Account No. 14-1263.

**ADDITIONAL FEE**

Please charge any insufficiency of fees, or credit any excess to our Deposit Account No. 14-1263.

Respectfully submitted,

NORRIS, McLAUGHLIN & MARCUS, P.A.

By 

William C. Gerstenzang  
Reg. No. 27,552

WCG:gb

Enc.- Declaration and Power of Attorney

Copy of Notice to File Missing Parts of Nonprovisional Application

220 East 42nd Street, 30th Floor  
New York, New York 10017  
(212) 808-0700

I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to: Asst. Commissioner For Patents, Washington, D.C. 20231 on March 4, 2002.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By  Date 3/4/02



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,139	11/27/2001	Klemens Kohl Gruber	Bayer 10,227-WCG

CONFIRMATION NO. 9945

## FORMALITIES LETTER



\*OC000000007193068\*

William C. Gerstenzang  
NORRIS MCLAUGHLIN & MARCUS, P.A.  
220 East 42nd Street, 30th Floor  
New York, NY 10017

Date Mailed: 12/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/15/2002 BMSUYEN1 00000005 141263 09995139

02 FC:105 130.00 CH



Attorney Docket No.:

Bayer 10,227-WCG  
Le A 32 751-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Klemens KOHLGRÜBER et al.  
Serial No. : 09/995,139  
Filed : November 27, 2001  
For : APPARATUS FOR CARRYING OUT MASS  
TRANSFER PROCESSES  
Art Unit : 3753  
Examiner : To Be Assigned

March 4, 2002


Hon. Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

**LETTER TO THE OFFICIAL DRAFTSMAN**

Submitted herewith for entry and approval are seven (7) sheets of corrected formal drawings (FIGS. 1-10b) in the above-referenced application.

Respectfully submitted,  
NORRIS, McLAUGHLIN & MARCUS, P.A.

By 

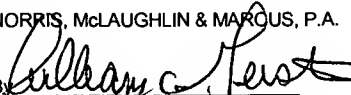
William C. Gerstenzang  
Reg. No. 27,552

WCG:gb  
Enc. - Formal Drawings (7 sheets)

220 East 42<sup>nd</sup> Street  
30<sup>th</sup> Floor  
New York, New York 10017  
Phone: (212) 808-0700  
Fax: (212) 808-0844

I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on March 4, 2002.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By 

Date 3/4/02